

Attorney's Docket No. 5577.215

APR 10 2001

Preserved PATENT

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Callis, et al.

Group Art Unit: 2152

Serial No.: 09/693,027

Filed: October 20, 2000

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR
POLICY BASED DISTRIBUTION OF WORKLOAD TO SUBSETS OF
POTENTIAL SERVERS**

Commissioner for Patents
Washington, DC 20231



March 9, 2001

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JUN 06 2001
Technology Center 2100

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In reviewing the filing receipt (copy attached) for the above referenced application, Applicant notes that the title is listed as "Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of **Potenial** Servers." However, as indicated on the application and its accompanying transmittal sheet, the title should be listed as "Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of **Potentia**l Servers."

Applicant respectfully requests that the title be corrected to read, "**Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of Potential Servers**" and that a corrected filing receipt be issued.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Timothy J. O'Sullivan".

Timothy J. O'Sullivan
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on March 9, 2001.

A handwritten signature in black ink, appearing to read "Traci A. Brown".
Traci A. Brown
Date of Signature: March 9, 2001

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TJD



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/693,027	10/20/2000	2152	1020	5577-215	8	30	3

20792
MYERS BIGEL SIBLEY & SAJOVEC
PO BOX 37428
RALEIGH, NC 27627



FILING RECEIPT



OC000000005826255

Date Mailed: 03/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gregory M. Callis, Morrisville, NC ;
Wesley McMillan Devine, Apex, NC ;
Jon Kevin Franks, Holly Springs, NC ;
Lap Thiet Huynh, Apex, NC ;
Alan G. Packett, Raleigh, NC ;

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/02/2001

Title

Methods, systems and computer program products for policy based distribution of workload to subsets of potential servers

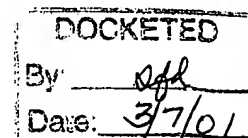
Preliminary Class

709

Data entry by : WYATT, DEBRA

Team : OIPE

Date: 03/05/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**



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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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FILE COPY



Bib Data Sheet

CONFIRMATION NO. 8047

SERIAL NUMBER 09/693,027	FILING DATE 10/20/2000 RULE	CLASS 709	GROUP ART UNIT 2153 2142	ATTORNEY DOCKET NO. 5577-215
APPLICANTS Gregory M. Callis, Morrisville, NC; Wesley McMillan Devine, Apex, NC; Jon Kevin Franks, Holly Springs, NC; Lap Thiet Huynh, Apex, NC; Alan G. Packett, Raleigh, NC;				
** CONTINUING DATA ***** <i>NONE</i>				
** FOREIGN APPLICATIONS ***** <i>NONE</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/02/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature <i>Carla L. M.</i> Initials <i>LS</i>	STATE OR COUNTRY NC	SHEETS DRAWING 8	TOTAL CLAIMS 30
INDEPENDENT CLAIMS 3				
ADDRESS 20792				
TITLE Methods, systems and computer program products for policy based distribution of workload to subsets of potential servers				
FILING FEE RECEIVED 1020	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	